

Renormalization of gauge theories in the background-field approach

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QUARKS2018, May 31, 2018

Task: to prove that counterterms are covariant local functionals of the original gauge field

Gauge-breaking and ghost terms – counterterms to them?

Preservation of the BRST structure and counterterms covariance by

*1) The choice of background covariant gauge conditions
and*

2) gauge field reparametrization

(DeWitt, Tuytin-Voronov, Batalin-Vilkovisky, Kallosh, Arefieva-Faddeev-Slavnov, Abbot, Henneaux et al)

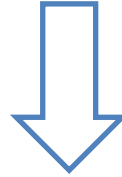
40+ years old topic! So what is new here?



**D.Blas, M.Herrero-Valeya, S.Sibiryakov, C.Steinwachs & A.B.
Phys. Rev. D 93, 064022 (2016), arXiv:1512.02250;
arXiv:1705.03480; PRL 119,211301 (2017), arXiv:1706.06809**

Background field extension of the BRST operator

Inclusion of generating functional sources into the gauge fermion



Invariance of gauge fields counterterms via decoupling of the background field

Quantum corrected gauge fermion is a generating functional of the field reparameterization

No power counting or use of field dimensionalities

Extension to Lorentz symmetry violating theories

Extension to (nonrenormalizable) effective field theories

BRST formalism

Gauge theory:

$$\varphi = \varphi^a, \quad S = S[\varphi], \quad \frac{\delta S}{\delta \varphi^a} R_\alpha^a = 0$$

Generators of gauge transformations:

$$R_\alpha^a = R_\alpha^a(\varphi), \quad \delta_\epsilon \varphi^a = R_\alpha^a \epsilon^\alpha,$$

$$R_\alpha^a \frac{\delta R_\beta^b}{\delta \varphi^a} - R_\beta^a \frac{\delta R_\alpha^b}{\delta \varphi^a} = C_{\alpha\beta}^\gamma R_\gamma^b$$

structure constants

DeWitt summation rule: $a = (A, x), \quad F^a \Psi_a \equiv \int dx F^A(x) \Psi_A(x)$

Feynman-DeWitt-Faddeev-Popov functional integral

$$e^{-W[J]} = \int d\varphi e^{-S[\varphi] - \underbrace{\frac{1}{2}\chi^\alpha O_{\alpha\beta}\chi^\beta}_{\text{gauge-breaking term}} - J\varphi} \underbrace{(\det O_{\alpha\beta})^{1/2}}_{\text{measure}} \underbrace{\det\left(\frac{\delta\chi^\alpha}{\delta\varphi^a} R_\beta^a\right)}_{\text{Faddeev-Popov operator}}$$

$$S[\varphi] \rightarrow \Sigma[\Phi] = S[\varphi] + \underbrace{b_\alpha \chi^\alpha(\varphi) - \frac{1}{2} O^{\alpha\beta} b_\alpha b_\beta}_{\text{gauge-breaking term}} - \bar{\omega}_\alpha \left(\frac{\delta\chi^\alpha}{\delta\varphi^a} R_\beta^a \right) \omega^\beta$$

$$\varphi^a \rightarrow \Phi = \varphi^a, \underbrace{\omega^\alpha, \bar{\omega}_\alpha, b_\alpha}_{\text{ghosts and auxiliary fields}}$$

$$e^{-W[\mathcal{J}]} = \int d\Phi e^{-\Sigma[\Phi] - \mathcal{J}\Phi}$$

**BRST functional
integral**

$$\Sigma[\Phi] = S[\varphi] + s\Psi[\Phi] \quad \text{BRST action}$$

$$s = (s\Phi) \frac{\delta}{\delta\Phi}, \quad s^2 = 0 \quad \text{nilpotent BRST operator}$$

BRST transformations of Φ

$$s\Phi : \quad s\varphi^a = R_\alpha^a(\varphi) \omega^\alpha, \quad s\omega^\alpha = \frac{1}{2} C_{\beta\gamma}^\alpha \omega^\beta \omega^\gamma, \\ s\bar{\omega}_\alpha = b_\alpha, \quad sb_\alpha = 0.$$

Gauge fermion
(correlators of physical
observable are
independent of ψ)

$$\Psi[\Phi] = \bar{\omega}_\alpha \left(\chi^\alpha(\varphi) - \frac{1}{2} O^{\alpha\beta} b_\beta \right)$$

↑
gauge
conditions

↑
gauge-fixing
matrix

BRST invariance $s\Sigma[\Phi] = 0$

Assumptions on the class of theories

generators: linear

$$\delta_\varepsilon \varphi^a = R^a{}_\alpha(\varphi) \varepsilon^\alpha, \quad R^a{}_\alpha(\varphi) = P^a{}_\alpha + R^a{}_{b\alpha} \varphi^b$$

closed algebra

$$[\delta_\varepsilon, \delta_\eta] \varphi^a = \delta_\varsigma \varphi^a \quad \varsigma^\alpha = C^\alpha{}_{\beta\gamma} \varepsilon^\beta \eta^\gamma$$

irreducible

$$R^a{}_\alpha \varepsilon^\alpha = 0 \Rightarrow \varepsilon^\alpha = 0$$

Examples:

$$\text{YM: } \delta_\varepsilon A_\mu^i = f^{ijk} A_\mu^j \varepsilon^k + \partial_\mu \varepsilon^i$$

$$\text{GR: } \delta_\varepsilon g_{\mu\nu} = \varepsilon^\lambda \partial_\lambda g_{\mu\nu} + g_{\mu\lambda} \partial_\nu \varepsilon^\lambda + g_{\nu\lambda} \partial_\mu \varepsilon^\lambda$$

Also **higher-derivative gravity**, also **non-relativistic** (Lifshitz) theories

Counterexample:

Supergravity (the algebra does not close off-shell)

Existence of gauge invariant regularization (no anomalies)
– very subtle!

Background gauge fixing

background gauge
transformations
(BGT)

$$\chi^\alpha(\varphi) \rightarrow \chi^\alpha(\varphi, \phi) = \chi^\alpha_a(\phi)(\varphi^a - \phi^a)$$

background field

same

$$\delta_\varepsilon \varphi^a = R^a_\alpha(\varphi) \varepsilon^\alpha, \quad \delta_\varepsilon \phi^a = R^a_\alpha(\phi) \varepsilon^\alpha$$

Linear representation of the gauge group:

$$\delta_\varepsilon(\varphi^a - \phi^a) = R^a_{b\alpha}(\varphi^b - \phi^b) \varepsilon^\alpha$$

fundamental representation

$$\delta_\varepsilon \chi^\alpha \equiv \frac{\delta \chi^\alpha}{\delta \varphi^a} \delta_\varepsilon \varphi^a + \frac{\delta \chi^\alpha}{\delta \phi^a} \delta_\varepsilon \phi^a = -C^\alpha_{\beta\gamma} \chi^\beta \varepsilon^\gamma$$

adjoint representation

Modification of BRST operator

$$s \rightarrow Q = s + \underset{\nearrow}{\Omega^a} \frac{\delta}{\delta \phi^a}$$

*anticommuting auxiliary field – controls
dependence on background ϕ*

$$\Sigma \rightarrow S[\varphi] + Q\Psi, \quad Q\Sigma = 0$$

Introduction of sources into gauge fermion

$$\mathcal{J} = J_a, \underbrace{\bar{\xi}_\alpha, \xi^\alpha, y^\alpha}_{\text{sources for } \Phi = \varphi^a, \omega^\alpha, \bar{\omega}_\alpha, b_\alpha}, \underbrace{\gamma_a, \zeta_\alpha}_{\text{sources for } s\varphi^a, s\omega^\alpha}, \Omega^a$$

background
source

sources for $\Phi = \varphi^a, \omega^\alpha, \bar{\omega}_\alpha, b_\alpha$

sources for $s\varphi^a, s\omega^\alpha$

$$e^{-\frac{1}{\hbar}W[\mathcal{J}]} = \int d\Phi e^{-\frac{1}{\hbar}[\Sigma + J_a(\varphi^a - \phi^a) + \bar{\xi}_\alpha \omega^\alpha + \xi^\alpha \bar{\omega}_\alpha + y^\alpha b_\alpha]}$$

$$\Sigma[\Phi, \mathcal{J}] = S[\varphi] + Q\Psi[\Phi, \mathcal{J}]$$

$$\Psi[\Phi, \phi, \gamma, \zeta] = \bar{\omega}_\alpha \left(\chi_a^\alpha(\phi)(\varphi^a - \phi^a) - \frac{1}{2} O^{\alpha\beta}(\phi) b_\beta \right) - \gamma_a(\varphi^a - \phi^a) + \zeta_\alpha \omega^\alpha$$

Obviously $\varphi^a - \phi^a = -\frac{\delta\Psi}{\delta\gamma_a}, \quad \omega^\alpha = \frac{\delta\Psi}{\delta\zeta_\alpha}$

Introduction of sources into BRST charge

Extended BRS operator

$$Q \rightarrow Q_{\text{ext}} = s + \Omega \frac{\delta}{\delta \phi} - J \frac{\delta}{\delta \gamma} + \bar{\xi} \frac{\delta}{\delta \zeta} + \xi \frac{\delta}{\delta y}, \quad Q_{\text{ext}}^2 = 0$$
$$\xi \bar{\omega} + y b = \left(s + \xi \frac{\delta}{\delta y} \right) y \bar{\omega} = Q_{\text{ext}} (y \bar{\omega})$$

Extended gauge fermion

$$\Psi \rightarrow \Psi_{\text{ext}} \equiv \Psi + y \bar{\omega}$$

$$\Sigma \rightarrow \Sigma_{\text{ext}} = \Sigma - J \frac{\delta \Psi}{\delta \gamma} + \bar{\xi} \frac{\delta \Psi}{\delta \zeta} + \xi \bar{\omega} + y b = S + Q_{\text{ext}} \Psi_{\text{ext}}$$

$$e^{-W/\hbar} = \int d\Phi e^{-(S + Q_{\text{ext}} \Psi_{\text{ext}})/\hbar}$$

Full set of symmetries

BRST symmetry $Q_{\text{ext}} \Sigma_{\text{ext}} = 0$

Add background gauge transformation of other fields and sources:

$$\begin{aligned}\delta_\varepsilon \gamma_a &= -\gamma_b R^b_{a\alpha} \varepsilon^\alpha, & \delta_\varepsilon \omega^\alpha &= -C^\alpha_{\beta\gamma} \omega^\beta \varepsilon^\gamma \\ \delta_\varepsilon \zeta_\alpha &= \zeta_\beta C^\beta_{\alpha\gamma} \varepsilon^\gamma, & \delta_\varepsilon \Omega^\alpha &= R^a_{b\alpha} \Omega^b \varepsilon^\alpha\end{aligned}$$



BGT symmetry $\delta_\varepsilon \Psi_{\text{ext}} = 0, \quad \delta_\varepsilon \Sigma_{\text{ext}} = 0$

U(1) symmetry with ghost numbers:

$$\begin{aligned}\text{gh}(\varphi) &= \text{gh}(\phi) = \text{gh}(b) = 0, & \text{gh}(\omega) &= \text{gh}(\Omega) = +1, \\ \text{gh}(\bar{\omega}) &= \text{gh}(\gamma) = -1, & \text{gh}(\zeta) &= -2\end{aligned}$$

Renormalization at a glance

generating
functional

$$W[J] = -\hbar \log \int d\Phi \exp \left[-\frac{1}{\hbar} (S[\Phi] + J\Phi) \right]$$

mean fields,
effective action

$$\langle \Phi \rangle = \frac{\delta W}{\delta J} \quad , \quad \Gamma[\langle \Phi \rangle] = W - J\langle \Phi \rangle$$

subtraction

$$S_{\text{ren}} = S_0[\Phi] - \hbar \Gamma_{\text{div}}^{1\text{-loop}}[\Phi] - \hbar^2 \Gamma_{\text{div}}^{2\text{-loop}}[\Phi] - \dots$$

local

Apply it to a gauge theory: effective action renormalized in (L-1)-th order

$$\Gamma_{L-1} = \Sigma_0 + \sum_{l=1}^{\infty} \hbar^l \Gamma_{L-1}^{(l)}, \quad \Gamma_{L-1,\infty}^{(L)} \equiv \Gamma_{L,\infty}[\langle \Phi \rangle, \phi, \gamma, \zeta, \Omega] \quad \text{-- local divergence}$$

L-th order renormalization:

$$\Sigma_L[\Phi, \phi, \gamma, \zeta, \Omega] = \Sigma_{L-1} - \hbar^L \Gamma_{L,\infty}[\Phi, \phi, \gamma, \zeta, \Omega]$$

quantum field

Main result

Renormalized generating functional:

$$e^{-W/\hbar} = \int d\Phi e^{-\left(S + \mathbf{Q} \Psi + J_a(\tilde{\varphi}^a - \phi^a) + \bar{\xi}_\alpha \tilde{\omega}^\alpha + \xi^\alpha \bar{\omega}_\alpha + y^\alpha b_\alpha\right)/\hbar}$$

renormalized fields

Renormalization of the action and gauge fermion

$$S[\varphi] = S_0[\varphi] - \sum_{l=1}^{\infty} \hbar^l S_l[\varphi]$$

local invariants of the
original gauge field

ghost fields
and sources
dependent

$$\Psi = \Psi_0 - \sum_{l=1}^{\infty} \hbar^l \mathcal{Y}_l[\Phi, \mathcal{J}]$$

$$\Psi_0 = -\hat{\gamma}_a(\varphi^a - \phi^a) + \zeta_\alpha \omega^\alpha - \frac{1}{2} \bar{\omega}_\alpha O^{\alpha\beta}(\phi) b_\beta + y_\alpha \bar{\omega}_\alpha$$

$$\hat{\gamma}_a = \gamma_a - \bar{\omega}_\alpha \chi_a^\alpha$$

Gauge fermion is a generating function of the field redefinition

$$\tilde{\varphi}(\Phi, \mathcal{J}) - \phi = -\frac{\delta\Psi[\Phi, \mathcal{J}]}{\delta\gamma}, \quad \tilde{\omega} = \frac{\delta\Psi[\Phi, \mathcal{J}]}{\delta\zeta}$$

$$\Psi[\Phi, \mathcal{J}] = \Psi[\varphi, \omega, \phi, \gamma, \zeta, \Omega]$$



Local generally nonlinear reparametrization of quantum fields to composite operators including external sources

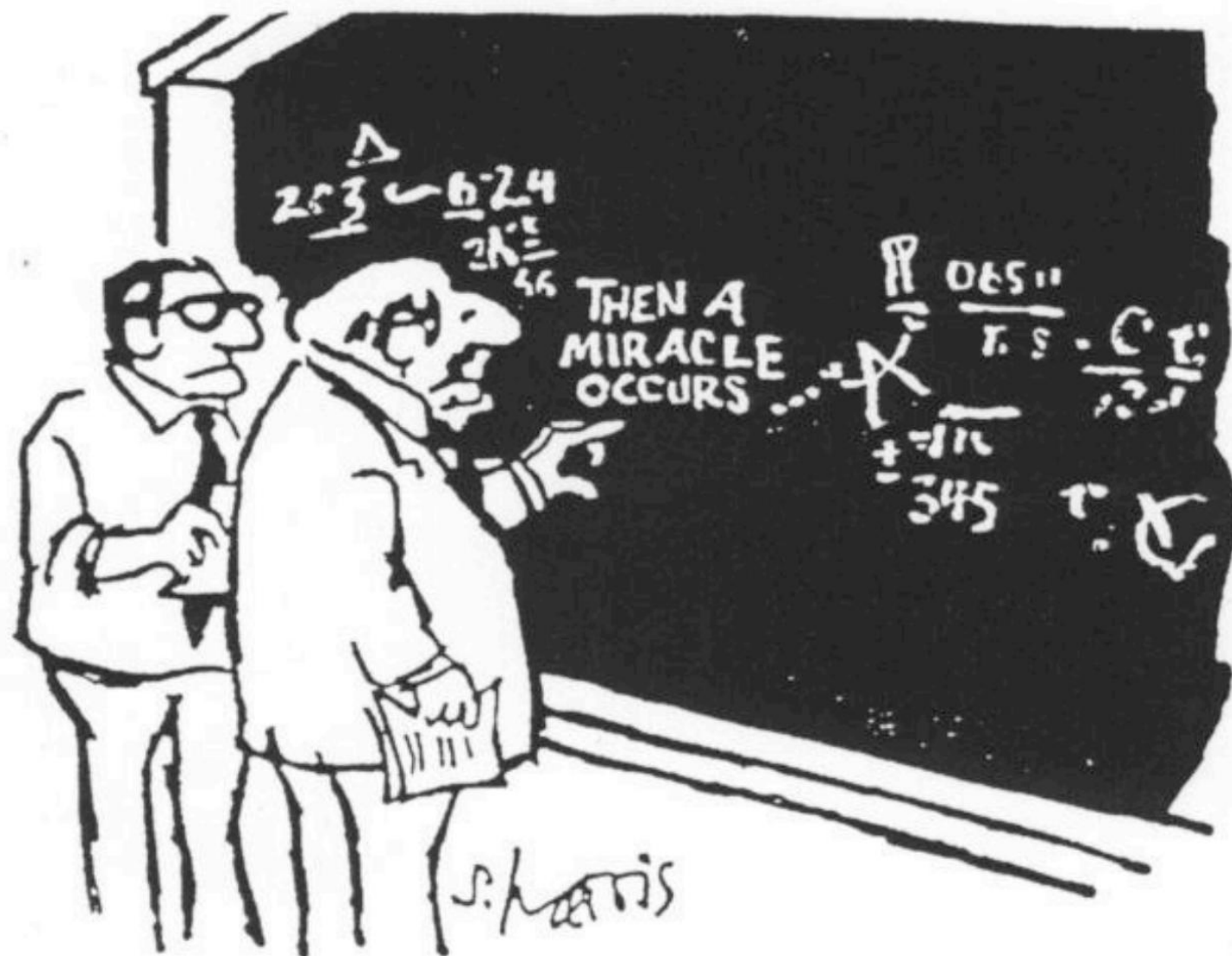
$$\tilde{\varphi}^a = \tilde{\varphi}^a(\varphi, \omega, \phi, \gamma, \zeta, \Omega) \quad \tilde{\omega}^\alpha = \tilde{\omega}^\alpha(\varphi, \omega, \phi, \gamma, \zeta, \Omega)$$

Applies to (non-renormalizable) **EFT** -- nonlinear dependence on sources

For renormalizable theories:

$$\hat{\Psi}_L = -\hat{\gamma}_a U_L^a(\varphi, \phi) + \zeta_\alpha \omega^\beta V_{L\beta}^\alpha(\varphi, \phi) \quad \text{linear in } \gamma \text{ and } \zeta$$

$$\tilde{\varphi}_L^a = \phi^a + U_L^a(\varphi, \phi), \quad \tilde{\omega}_L^\alpha = V_{L\beta}^\alpha(\varphi, \phi) \omega^\beta \quad \text{independent of other sources}$$



I think you should be a little more specific, here in Step 2

Slavnov-Taylor and Ward identities

Derivation of ST identities: $Q_{\text{ext}} \Sigma_{\text{ext}} = 0 \Rightarrow \int d\Phi Q_{\text{ext}} e^{-\Sigma_{\text{ext}}/\hbar} = 0$

$$\parallel$$

$$\underbrace{-J \frac{\delta}{\delta \gamma} + \bar{\xi} \frac{\delta}{\delta \zeta} + \xi \frac{\delta}{\delta y} + \Omega \frac{\delta}{\delta \phi} + s}_{=0}$$

$$\left(-J \frac{\delta}{\delta \gamma} + \bar{\xi} \frac{\delta}{\delta \zeta} + \xi \frac{\delta}{\delta y} + \Omega \frac{\delta}{\delta \phi} \right) e^{-W/\hbar}$$

$$= - \int d\Phi s e^{-\Sigma_{\text{ext}}/\hbar} = \int d\Phi \left(\frac{\delta}{\delta \Phi^I} s \Phi^I(\Phi) \right) e^{-\Sigma_{\text{ext}}/\hbar}$$

$$\underbrace{\phantom{\frac{\delta}{\delta \Phi^I} s \Phi^I(\Phi)}}_{=0}$$

**Assumption of
anomaly-free
regularization**

Derivation of Ward identities:

$$0 = \int d\Phi \delta_\epsilon e^{-\Sigma_{\text{ext}}/\hbar} = \int d\Phi \left(\underbrace{\delta_\epsilon \Phi \frac{\delta}{\delta \Phi}}_{=0} + \delta_\epsilon \phi \frac{\delta}{\delta \phi} + \delta_\epsilon \mathcal{J} \frac{\delta}{\delta \mathcal{J}} \right) e^{-\Sigma_{\text{ext}}/\hbar}$$

$$= \left(\delta_\epsilon \phi \frac{\delta}{\delta \phi} + \delta_\epsilon \mathcal{J} \frac{\delta}{\delta \mathcal{J}} \right) e^{-W/\hbar}$$

all sources

$$\mathcal{J} = J, \bar{\xi}, \xi, y, \gamma, \zeta, \Omega$$

BRST $\rightarrow \left[-J \frac{\delta}{\delta \gamma} + \bar{\xi} \frac{\delta}{\delta \zeta} + \xi \frac{\delta}{\delta y} + \Omega \frac{\delta}{\delta \phi} \right] W = 0$

BGT $\rightarrow \left[\delta_\varepsilon \phi \frac{\delta}{\delta \phi} + \delta_\varepsilon \mathcal{J} \frac{\delta}{\delta \mathcal{J}} \right] W = 0$

all sources

$\mathcal{J} = J, \bar{\xi}, \xi, y, \gamma, \zeta, \Omega$

b_α multiplier equation $\rightarrow \left[\chi_a^\alpha \frac{\delta}{\delta J_a} - O^{\alpha\beta} \frac{\delta}{\delta y^\beta} - \frac{\Omega^a \delta O^{\alpha\beta}}{2 \delta \phi^a} \frac{\delta}{\delta \xi^\beta} + y^\alpha \right] W = 0$

Transition to effective action $W \rightarrow \Gamma[\langle \varphi \rangle, \langle \omega \rangle, \langle \bar{\omega} \rangle, \langle b \rangle, \phi, \gamma, \zeta, \Omega]$

$$\Gamma[\langle \varphi \rangle, \langle \omega \rangle, \langle \bar{\omega} \rangle, \langle b \rangle, \phi, \gamma, \zeta, \Omega] = W - J_a (\langle \varphi^a \rangle - \phi^a) - \bar{\xi}_\alpha \langle \omega^\alpha \rangle - \xi^\alpha \langle \bar{\omega}_\alpha \rangle - y^\alpha \langle b_\alpha \rangle$$

**reduced
effective
action**

$$\Gamma \rightarrow \hat{\Gamma} = \Gamma - \left(b_\alpha + \Omega^a \bar{\omega}_\alpha \frac{\delta}{\delta \phi^a} \right) \left(\chi_a^\alpha (\varphi - \phi)^a - \frac{1}{2} O^{\alpha\beta} b_\beta \right)$$

subtracting gauge fixing term



$$\hat{\Gamma} = \hat{\Gamma}[\varphi, \omega, \phi, \hat{\gamma}, \zeta, \Omega], \quad \hat{\gamma}_a = \gamma_a - \bar{\omega}^\alpha \chi_\alpha^a, \quad \frac{\delta \hat{\Gamma}}{\delta b} = 0$$

Slavnov-Taylor and Ward identities for $\hat{\Gamma}$

$$\frac{\delta \hat{\Gamma}}{\delta \hat{\gamma}_a} \frac{\delta \hat{\Gamma}}{\delta \varphi^a} + \frac{\delta \hat{\Gamma}}{\delta \zeta_\alpha} \frac{\delta \hat{\Gamma}}{\delta \omega^\alpha} + \Omega^a \frac{\delta \hat{\Gamma}}{\delta \phi^a} = 0 \quad \Leftrightarrow \quad (\hat{\Gamma}, \hat{\Gamma}) + \Omega^a \frac{\delta \hat{\Gamma}}{\delta \phi^a} = 0$$

antibracket

$$\begin{aligned} \vec{W} \hat{\Gamma} \equiv & R^a{}_\alpha(\varphi) \frac{\delta \hat{\Gamma}}{\delta \varphi^a} - C^\gamma{}_{\beta\alpha} \omega^\beta \frac{\delta \hat{\Gamma}}{\delta \omega^\gamma} + R^a{}_\alpha(\phi) \frac{\delta \hat{\Gamma}}{\delta \phi^a} - \hat{\gamma}_b R^b{}_{a\alpha} \frac{\delta \hat{\Gamma}}{\delta \hat{\gamma}_a} \\ & + \zeta_\beta C^\beta{}_{\gamma\alpha} \frac{\delta \hat{\Gamma}}{\delta \zeta_\gamma} + R^a{}_{b\alpha} \Omega^b \frac{\delta \hat{\Gamma}}{\delta \Omega^a} = 0 \end{aligned}$$

Structure of divergences: tale of two (many) BRST operators

Proceed perturbatively in \hbar : $\hat{\Gamma} = \hat{\Sigma}_0 + O(\hbar)$

$$\hat{\Sigma}_0 = S[\varphi] + \hat{\gamma}_a R^a_\alpha(\varphi) \omega^\alpha + \frac{1}{2} \zeta_\alpha C^\alpha_{\beta\gamma} \omega^\beta \omega^\gamma$$

$\Gamma_L, \Gamma_L^{\text{div}} = \mathcal{O}(\hbar^{L+1})$ -- action renormalized in the L -th loop order

By induction $\Gamma_{L-1} \rightarrow \Gamma_L$ $\Gamma_L^{\text{div}} \equiv \Gamma_{L,\infty} = \hat{\Gamma}_\infty$

$$(\hat{\Gamma}, \hat{\Gamma}) + \Omega^a \frac{\delta \hat{\Gamma}}{\delta \phi^a} = 0 \quad \Rightarrow \quad \boxed{Q_+ \hat{\Gamma}_\infty = 0}$$

$$Q_+ X[\varphi, \omega, \phi, \hat{\gamma}, \zeta, \Omega] \equiv (\hat{\Sigma}_0, X) + \Omega^a \frac{\delta X}{\delta \phi^a} = 0. \quad Q_+ \neq Q$$

minimal
sector

$$Q_+^2 = 0 \quad \text{nilpotent}$$

trivial cohomology of

$$\Omega \frac{\delta}{\delta \phi} \Rightarrow$$

Batalin and
Vilkovisky (1985)

decoupling of
invariant counterterm

$$\hat{\Gamma}_{\infty} = S[\varphi] + \Lambda[\varphi, \omega, \hat{\gamma}, \zeta] + Q_+ \mathcal{I}$$

$$\frac{\delta S}{\delta \varphi^a} R^a_{\alpha}(\varphi) = 0$$

single field
counterterm

ghost term

$$\Lambda|_{\omega=0} = 0$$

BRST exact
term (not yet the
original one!)

Applies to **nonrenormalizable EFT** within gradient expansion: truncate in number of derivatives + locality + Grassman statistics of Ω

ST+W identities: $(q_0 + q_1) \Lambda = 0, \quad (q_0)^2 = (q_1)^2 = q_0 q_1 + q_1 q_0 = 0$

$$q_0 = \frac{\delta S}{\delta \varphi^a} \frac{\delta}{\delta \hat{\gamma}_a} - \hat{\gamma}_a R^a_{\alpha}(\varphi) \frac{\delta}{\delta \zeta_{\alpha}}, \quad q_1 = -\frac{1}{2} C^{\gamma}_{\alpha\beta} \omega^{\alpha} \omega^{\beta} \frac{\delta}{\delta \omega^{\gamma}}$$

Kozhul-Tate differential has a trivial cohomology under the assumption of **local completeness and irreducibility of gauge generators** for

$$\Lambda|_{\omega=\hat{\gamma}=\zeta=0} = 0$$



$$\Lambda = Q_+ \Xi$$

Henneaux (1991)
Vandoren, Van Proeyen (1994)

Thus:

BRST exact term
(not yet the original
BRST charge!)

$$\hat{\Gamma}_{\infty} = \Gamma[\varphi, \omega, \hat{\gamma}, \zeta] + Q_+ \Upsilon$$

$$\Gamma = S[\varphi] + Q_+ \Xi$$



$$\hat{\Gamma}_{\infty} = \Gamma_{\infty} \quad \text{full action vs reduced}$$

$$\Gamma_{L,\infty} = S_L[\varphi] + Q_+ \Upsilon_L, \quad \Upsilon_L = \Upsilon_L + \Xi_L$$

at any loop order L

L-th order subtraction and $Q_+ \rightarrow Q$ transition via field redefinition

$$\Sigma_L[\Phi, \phi, \gamma, \zeta, \Omega] = \Sigma_{L-1} - \hbar^L \Gamma_{L,\infty} + \mathcal{O}(\hbar^{L+1})$$

***gauge fermion
renormalization***

$$\begin{aligned}\Psi_L &= \Psi_{L-1} - \hbar^L \Upsilon_L \\ \Psi_L &= \Psi_0 - \sum_{l=1}^L \hbar^l \Upsilon_L\end{aligned}$$

field redefinition $\Phi = \varphi, \omega, \dots \rightarrow \Phi' = \varphi', \omega', \dots :$

$$\begin{aligned}\Sigma_L[\Phi, \phi, \gamma, \zeta, \Omega] &= \left[\Sigma_{L-1} - \hbar^L S_L - \hbar^L Q \Upsilon_L \right]_{\Phi \rightarrow \Phi'} \\ \tilde{\varphi}_{L-1}(\Phi) - \phi &= -\frac{\delta \Psi_L(\Phi')}{\delta \gamma}, \quad \tilde{\omega}_{L-1}(\Phi) = \frac{\delta \Psi_L(\Phi')}{\delta \zeta}\end{aligned}$$

Gauge fermion is a generating function of the field redefinition

Example: 2D O(N) gauge model

$$S = \frac{1}{2g^2} \int d^2x \partial_\mu n_i \partial^\mu n^i, \quad i = 1, \dots, N, \quad n^2 = 1$$

$$n^i = \frac{\varphi^i}{\sqrt{\varphi^2}} \quad \Rightarrow \quad S[\varphi] = \frac{1}{2g^2} \int d^2x G_{ij} \partial_\mu \varphi^i \partial^\mu \varphi^j,$$

$$G_{ij} = \frac{1}{\varphi^2} \left[\delta_{ij} - \frac{\varphi_i \varphi_j}{\varphi^2} \right]$$

Abelian gauge invariance

$$\delta_\varepsilon \varphi^i(x) = \varphi^i(x) \varepsilon(x)$$

$$R^a_{b\alpha} \mapsto \delta^i_j \delta(x - x_1) \delta(x - x_2)$$

Gauge fixing

$$\chi^\alpha(\varphi, \phi) \mapsto \chi = \square \left(\frac{\phi_i(x) (\varphi^i(x) - \phi^i(x))}{\phi^2(x)} \right)$$

$$O^{\alpha\beta}(\phi) \mapsto O(x, x') = -\square \delta(x - x'), \quad O^{-1}_{\alpha\beta}(\phi) \mapsto O^{-1}(x, x') = -\frac{1}{\square} \delta(x - x')$$

$$\Psi_0 = \int d^2x \left(-\hat{\gamma}_i (\varphi^i - \phi^i) + \zeta \omega \right) + \dots, \quad \hat{\gamma}_i = \gamma_i - \frac{\phi_i}{\phi^2} \square \bar{\omega}$$

$$\Sigma_0[\varphi, \omega, \bar{\omega}, \phi, \gamma, \Omega] = \int d^2x \left\{ \frac{1}{2} G_{ij} \partial_\mu \varphi^i \partial^\mu \varphi^j - \frac{1}{2} \frac{\varphi \cdot \phi}{\phi^2} \square \left(\frac{\varphi \cdot \phi}{\phi^2} \right) - \frac{\varphi \cdot \phi}{\phi^2} (\square \bar{\omega}) \omega \right. \\ \left. + (\gamma \cdot \varphi) \omega + \left(\frac{\Omega \cdot \varphi}{\phi^2} - 2 \frac{(\varphi \cdot \phi)(\Omega \cdot \phi)}{(\phi^2)^2} \right) \square \bar{\omega} + \Omega \cdot \gamma \right\}$$



One-loop divergences

$$\hbar \Gamma_{1,\infty} = -\frac{\hbar}{2\pi(2-d)} \int d^2x \left\{ \frac{N-2}{2} G_{ij} \partial_\mu \varphi^i \partial^\mu \varphi^j + \frac{(\phi^2)^2}{(\varphi \cdot \phi)^2} (\varphi \cdot \hat{\gamma}) \omega \right. \\ \left. + \left(\frac{\delta_{ij}}{\varphi^2} - 2 \frac{\phi_i \varphi_j}{(\varphi \cdot \phi) \varphi^2} + \frac{\phi_i \phi_j}{(\varphi \cdot \phi)^2} \right) \partial_\mu \varphi^i \partial^\mu \varphi^j - \left(\frac{\delta_{ij}}{(\varphi \cdot \phi)} - \frac{\phi_i \varphi_j}{(\varphi \cdot \phi)^2} \right) \partial_\mu \varphi^i \partial^\mu \phi^j \right. \\ \left. - \left[\frac{\varphi^2}{(\varphi \cdot \phi)} \delta_k^i - 2 \frac{\phi^2}{(\varphi \cdot \phi)^2} \varphi^i \phi_k - \frac{\varphi^2}{(\varphi \cdot \phi)^2} (\phi^i \varphi_k + \varphi^i \phi_k) + \frac{\phi^2(\varphi^2 + \phi^2)}{(\varphi \cdot \phi)^3} \varphi^i \varphi_k \right] \Omega^k \hat{\gamma}_i \right\}.$$

$$\sim \Omega \delta \mathcal{R}_1 / \delta \phi$$



$$\mathbf{r}_1 = \int d^2x \, \hat{\gamma}_i \mathbf{u}_1^i(\varphi, \phi)$$

$$\mathbf{u}_1^i(\varphi, \phi) = -\frac{1}{4\pi(2-d)} \left[\frac{\phi^2(\varphi^2 + \phi^2)}{(\varphi \cdot \phi)^2} \varphi^i - \frac{2\varphi^2}{(\varphi \cdot \phi)} \phi^i \right]$$

Field redefinition

$$\varphi^i \mapsto \tilde{\varphi}_1^i = \varphi^i + \hbar \mathbf{u}_1^i(\varphi, \phi)$$

essentially nonlinear




$$\Sigma_1 \equiv \left[\Sigma_0 - \hbar \Gamma_{1,\infty} \right]_{\varphi \rightarrow \varphi + \hbar \mathbf{u}_1}$$

$$= S[\varphi] - \hbar \mathbf{S}_1[\varphi] + \mathbf{Q}(\Psi_0 - \hbar \mathbf{r}_1)$$

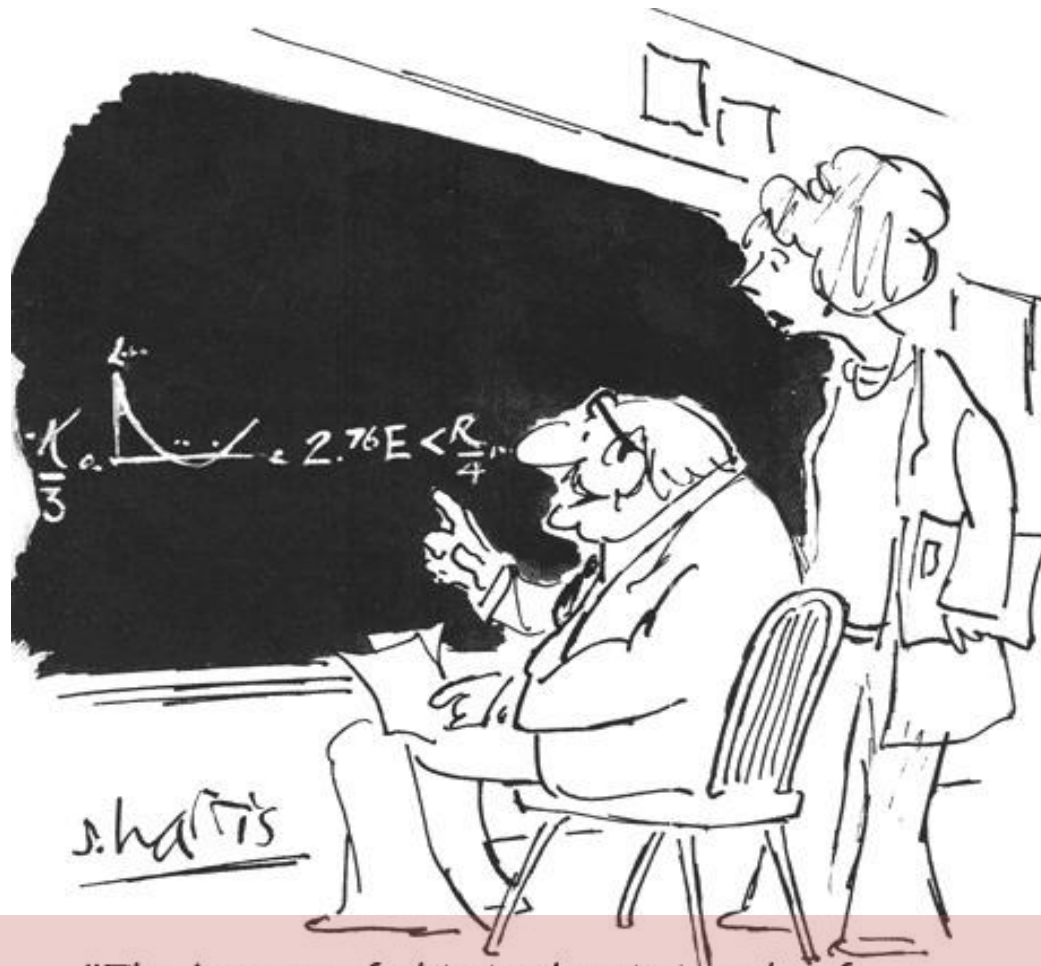
Physical one-loop counterterm

$$\mathbf{S}_1[\varphi] = -\frac{N-2}{4\pi(2-d)} \int d^2x \, G_{ij} \partial_\mu \varphi^i \partial^\mu \varphi^j$$

Conclusions and Outlook

-  Background field method is not only a convenient calculational tool, but is also efficient for general analysis of the structure of renormalization
-  BRST structure (gauge invariance) is preserved by renormalization for non-anomalous theories whose gauge algebra:
 - i) has linear generators
 - ii) closes off-shell *can be relaxed (?)*
 - iii) is locally complete
 - iv) is irreducible *can be relaxed*
-  Generalizations: open algebras, supersymmetry, composite operators, anomalies

The power and beauty of the nilpotent BRST operator



"The beauty of this is that it is only of theoretical importance, and there is no way it can be of any practical use whatsoever."

Physical law should have mathematical beauty

P A M Dirac

3 Oct 1956