# Horava Gravity is Asymptotically Free in 2+1 Dimensions

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#### Plan

#### Introduction:

towards local, unitary, perturbatively UV renormalizable QG

**Horava-Lifshitz gravity** 

#### **Problems with renormalization:**

BPHZ renormalization and "regularity" of propagators gauge invariance of UV counterterms (yesterday's talk)

"Regular" propagators and gauge fixing conditions

Asymptotic freedom of (2+1)-dimensional Horava gravity

**Conclusions** 

D. Blas, M. Herrero-Valea, S. Sibiryakov C. Steinwachs & A.B., Phys. Rev. D 93, 064022 (2016), arXiv:1512.02250; arXiv:1705.03480; PRL 119,211301 (2017), arXiv:1706.06809

#### **Einstein GR**

$$S_{EH} = \frac{M_P^2}{2} \int dt d^dx \ R$$
 nonrenormalizable 
$$\frac{M_P^2}{2} \int dt d^dx \ \left(h_{ij}\Box h_{ij} + h^2\Box h + \dots\right)$$

#### **Higher derivative gravity**

Stelle (1977)

$$\int \left(M_P^2 R + R_{\mu\nu} R^{\mu\nu} + R^2\right)$$

$$\int \left(M_P^2 h_{ij} \Box h_{ij} + h_{ij} \Box^2 h_{ij} + \dots\right)$$

$$\text{dominates at} \quad k \gg M_P$$

The theory is renormalizable and asymptotically free!

Fradkin, Tseytlin (1981) Avramidy & A.B. (1985)

But has ghost poles \_\_\_\_\_ no unitary interpretation

#### **Saving unitarity**

Horava (2009)
$$\int dt \, d^d x (\dot{h}_{ij} \dot{h}_{ij} - h_{ij} (-\Delta)^z h_{ij} + \dots)$$

$$\times b^{-(z+d)}$$

$$\times b^{-1} x, \quad t \mapsto b^{-z} t$$

Critical theory in z = d

LI is necessarily broken. We want to preserve as many symmetries, as possible

$$x^{i} \mapsto \tilde{x}^{i}(\mathbf{x}, t)$$
  $\longrightarrow$   $\gamma_{ij} \quad N^{i}, \quad i = 1, \dots, d$   $t \mapsto \tilde{t}(t)$   $\longrightarrow$   $N$ 

#### Foliation preserving diffeomorphisms

$$x^i \mapsto \tilde{x}^i(\mathbf{x}, t) , \quad t \mapsto \tilde{t}(t)$$

#### **ADM metric decomposition**

$$ds^2 = N^2 dt^2 + \gamma_{ij} (dx^i + N^i dt) (dx^j + N^j dt) \; , \quad i,j = 1, \ldots, d$$
 space dimensionality

#### Anisotropic scaling transformations and scaling dimensions

$$x^{i} \rightarrow \lambda^{-1}x^{i}, \quad t \rightarrow \lambda^{-z}t, \quad N^{i} \rightarrow \lambda^{z-1}N^{i}, \quad \gamma_{ij} \rightarrow \gamma_{ij},$$
 
$$[x] = -1, \quad [t] = -z, \quad [N^{i}] = z - 1, \quad [\gamma_{ij}] = 0, \quad [K_{ij}] = z.$$

$$\text{extrinsic}$$

$$\text{curvature}$$

$$K_{ij} = \frac{1}{2N}(\dot{\gamma}_{ij} - \nabla_{i}N_{j} - \nabla_{j}N_{i})$$

### "Projectable" theory N = const = 1

#### kinetic term -- unitarity

Horava gravity action

$$S = \frac{1}{2G} \int dt \, d^d x \sqrt{\gamma} N \left( K_{ij} K^{ij} - \lambda K^2 - \mathcal{V}(\gamma) \right)$$
$$K_{ij} = \frac{1}{2N} (\dot{\gamma}_{ij} - \nabla_i N_j - \nabla_j N_i)$$

Potential term

$$\mathcal{V}(\gamma) = 2\Lambda - \eta R + \mu_1 R^2 + \mu_2 R_{ij} R^{ij} + \nu_1 R^3 + \nu_2 R R_{ij} R^{ij} + \nu_3 R_i^i R_k^j R_i^k + \nu_4 \nabla_i R \nabla^i R + \nu_5 \nabla_i R_{jk} \nabla^i R^{jk} + \dots$$

Many more versions: extra structures in non-projectable theory,  $N \neq \text{const}, \quad a_i = \nabla_i \ln N$  reduction of structures for detailed balance case . . .

# Divergences power counting

Deg of div 
$$\int \frac{d^{d+1}p}{\left(p^2\right)^N} = d+1-2N = \text{physical dimensionality}$$

$$p = (\omega, \mathbf{k}), \ p^2 \to \omega^2 + \mathbf{k}^{2z}$$

Deg of div 
$$\int \frac{d\omega \, d^dk}{\left(\omega^2+{\bf k}^{2z}\right)^N}=z+d-2zN=$$
 scaling dimensionality

physical dimensionality  $\neq$  scaling dimensionality

# Counting degree of divergences and dimensionalities

$$\operatorname{Tr} \ln \left( -\partial_t^2 + (-\Delta)^z + \ldots \right) \Big|_{\operatorname{div}}$$

$$= -\int_{\epsilon}^{\infty} \frac{ds}{s} \, e^{-s} \left[ -\partial_t^2 + (-\Delta)^z + \ldots \right] \Big|_{\operatorname{div}} = \int dt \, d^d x \, \gamma^{1/2} \, \sum \frac{\nabla^{2k} R^n \partial_t^r K^p}{\epsilon^D}$$

$$D = \frac{d + z - 2(n+k) - (p+r)z}{2z} \quad \operatorname{degree\ of\ divergence}$$

$$[s] = [\epsilon] = -2z$$

$$D \le 0, p \ge 2 \Rightarrow z \ge d$$

z = d

critical value

#### Log divergent potential terms

$$r + p = 0, D = 1 - \frac{k+n}{d} = 0 \Rightarrow k+n = d, [\nabla^{2k}R^n] = 2d$$

$$\mathcal{V}^{(d=2)}(\gamma) = 2\Lambda - \eta R + \mu_1 R^2$$

$$\mathcal{V}^{(d=3)}(\gamma) = 2\Lambda - \eta R + \mu_1 R^2 + O(R^3, R\nabla^2 R)$$

# Things are not so simple:

Why power counting is not enough?

$$\int \prod_{l=1}^{L} d^{d+1}k^{(l)} \mathcal{F}_n(k) \prod_{m=1}^{M} \frac{1}{\left(P^{(m)}(k)\right)^2} \implies$$

$$\int \prod_{l=1}^{L} d\omega^{(l)} d^{d}k^{(l)} \mathcal{F}_{n}(\omega, \mathbf{k}) \prod_{m=1}^{M} \frac{1}{A_{m} (\Omega^{(m)}(\omega))^{2} + B_{m} (\mathbf{K}^{(m)}(\mathbf{k}))^{2z}}$$

Generalization of BPHZ renormalization theory (subtraction of subdivergences) works only for  $A_m > 0$  and  $B_m > 0$ 

depends on gauge fixing

# Toy model: d=2

$$S = \frac{1}{2G} \int dt \, d^2x \left( K_{ij} K^{ij} - \lambda K^2 - \mu R^2 \right)$$

propagates a single scalar that is well-behaved at  $\lambda < 1/2$  or  $\lambda > 1$ 

We need to fix the gauge:

linear combination of the fields

$$\mathcal{L}_{gf} = \frac{\sigma}{2G} F^i \mathcal{O}_{ij} F^j$$

invertible operator

$$\mathcal{O}_{ij} = \delta_{ij}, \quad \sigma \to \infty \quad \Rightarrow \quad F^i = N^i = 0$$

$$\int \mathcal{P}_{S}(\omega, p) = \frac{1}{\omega^{2} + 4\mu \frac{1-\lambda}{1-2\lambda}} p^{4}$$

$$\langle h_{ij}(p)h_{kl}(-p)\rangle = \frac{4\kappa^{2}(1-\lambda)}{1-2l} \delta_{ij}\delta_{kl} P_{s}(p)$$

$$+ \left(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk} - 2\delta_{ij}\delta_{kl}\right) \frac{2\kappa^{2}}{\omega^{2}}$$

$$+ 16\kappa^{2}\mu \left[\frac{1-\lambda}{1-2\lambda} \left(\delta_{ij}p_{k}p_{l} + p_{i}p_{j}\delta_{kl}\right)p^{2} - p_{i}p_{j}p_{k}p_{l}\right] \frac{P_{s}(p)}{\omega^{2}}$$

$$\Rightarrow (...) \times |t| \delta^{(2)}(\mathbf{x})$$

Analogy: Coulomb gauge in QED and YM theory

What is the analogue of relativistic gauges?

# Regular gauges for projectable HG

#### Hint from relativistic theory:

$$F^{\mu} \equiv \partial_{\nu} h^{\nu\mu} + \dots \Rightarrow F^{i} = \dot{N}^{i} + c \partial_{j} \Delta h^{ji} + \dots$$

extra derivatives to have homogeneity in scaling

$$[F^i] = 3 \Rightarrow [\mathcal{O}_{ij}] = -2, \quad \mathcal{O}_{ij} = (\Delta \delta_{ij} + \xi \partial_i \partial_j)^{-1}$$
nonlocal in space

#### Localization by auxiliary fields:

$$\int dt \, dt^2 x \, F^i \mathcal{O}_{ij} F^j \; \mapsto \; \int dt \, d^2 x \; \left( -\frac{1}{2} \pi_i \, \mathcal{O}^{-1 \, ij} \pi_j - i \pi_i F^i \right)$$

#### The choice

$$F^{i} = \dot{N}^{i} + \frac{1}{2\sigma} \mathcal{O}_{ij}^{-1} \partial_{k} h_{jk} - \frac{\lambda}{2\sigma} \mathcal{O}_{ij}^{-1} \partial_{j} h$$

decouples  $N^i$  from  $h_{ij}$  in the quadratic action



regular propagators for all fields (including Faddeev--Popov ghosts)

two free gf. parameters  $\sigma, \xi$ 

Straightforward generalization to d>2, e.g.

$$\mathcal{O}_{ij}^{d=3} = \Delta^{-1} \left( \delta_{ij} \Delta + \xi \partial_i \partial_j \right)^{-1}$$

## Gauge invariance of counterterms

# Background covariant gauge conditions + BRST structure of renormalization (yesterday's talk)

#### Background field method:

$$\gamma_{ij} = \bar{\gamma}_{ij} + h_{ij}, \ \partial_i \to \bar{\nabla}_i, \ \mathcal{O}^{-1\,ij} = \bar{\Delta}\,\bar{\gamma}^{ij} + \xi\bar{\nabla}^i\bar{\nabla}^j, \dots$$

DeWitt, Tyutin, Voronov, Stelle, Batalin, Vilkovisky, Slavnov, Arefieva, Abbott...
Barnich, Henneaux, Grassi, Anselmi,...

Blas, Herrero-Valea, Sibiryakov, Steinwachs & A.B. arXiv:1706.06809

# Non-Projectable model

one more variable  $N=1+\phi$  + one more equation

Good: 
$$\omega_s^2 \propto +k^2$$
 at  $k \to 0$ 

Bad: at 
$$k \to \infty$$

$$\langle \phi \phi \rangle = \text{regular} + \frac{1}{k^{2d}}$$

present even in  $\sigma \xi$  - gauges physical: shows up in the interaction of local sources

# Asymptotic freedom in (2+1)-dimensions

$$S = \frac{1}{2G} \int dt \, d^2x \, N\sqrt{\gamma} \, \left( K_{ij} K^{ij} - \lambda K^2 + \mu R^2 \right)$$

Off-shell extension is not unique:

$$\Gamma_{1-\text{loop}} \to \Gamma_{1-\text{loop}} + \int dt \, d^d x \, \Omega_{ij} \frac{\delta S}{\delta \gamma_{ij}}$$

$$\Gamma \to \Gamma + \varepsilon \int dt \, d^2x \, \sqrt{\gamma} \, \left[ K_{ij} K^{ij} - \lambda K^2 - \mu R^2 \right]$$
$$\delta G = -2G^2 \varepsilon, \quad \delta \lambda = 0, \quad \delta \mu = -4G\mu \varepsilon$$

**Essential coupling constants:** 
$$\lambda$$
.  $\mathcal{G} \equiv \frac{G}{\sqrt{\mu}}$ 

$$\gamma_{ij} \rightarrow \gamma_{ij} + h_{ij}$$
,

$$N_i = 0 + n_i$$

background covariant gauge-fixing term  $\sigma$ ,  $\xi$  – free parameters

$$S_{gf} = \frac{\sigma}{2G} \int dt \, d^2x \, \sqrt{\gamma} \, F_i \, \mathcal{O}^{ij} F_i$$

$$F_i = \partial_t n_i + \frac{1}{2\sigma} \, \mathcal{O}_{ij}^{-1} (\nabla^k h_k^j - \lambda \nabla^j h)$$

$$\mathcal{O}^{ij} = -[\gamma_{ij} \Delta + \xi \nabla_i \nabla_i]^{-1}$$

localization of the kinetic term by auxilairy field  $\pi$ 

$$\frac{\sigma}{2G} \int dt \, dt^2 x \sqrt{\gamma} \, \partial_t n_i \frac{-1}{\gamma_{ij} \Delta + \xi \nabla_i \nabla_j} \partial_t n_j \mapsto \frac{1}{2G} \int dt \, d^2 x \sqrt{\gamma} \, \left( -\frac{1}{2\sigma} \pi^i \, \mathcal{O}_{ij}^{-1} \pi^j - i \pi^i \partial_t n_i \right)$$

$$S_{\rm gh} = -\int dt\, d^2x \sqrt{\gamma}\, \bar{c}^i \Big[ \partial_t \left( \gamma_{ij} \partial_t c^j \right) - \frac{1}{2\sigma} \Delta^2 (\gamma_{ij} c^j) - \frac{1}{2\sigma} \Delta \nabla_k \nabla_i c^k \\ \text{of ghosts:} \qquad + \frac{\lambda}{\sigma} \Delta \nabla_i \nabla_j c^j - \frac{\xi}{2\sigma} \left( \nabla_i \nabla_j \Delta c^j + \nabla_i \nabla_j \nabla_k \nabla^j c^k - 2\lambda \nabla_i \Delta \nabla_j c^j \right) \Big]$$

#### Perturbation theory around

flat ST: 
$$\gamma_{ij} = \delta_{ij} + H_{ij}$$

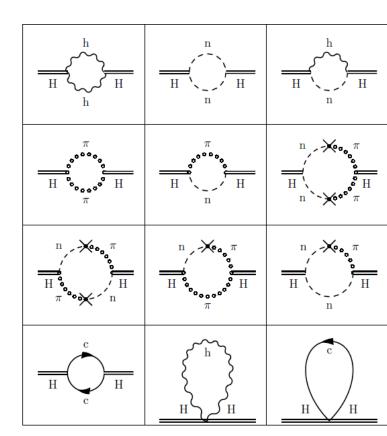
#### Diagrammatic technique in terms

of 
$$H_{ij},\,n_i,\,\pi_i,\,c^i,\,\overline{c}^i$$

$$\beta_{G} \beta_{\lambda}$$

$$\uparrow \qquad \uparrow$$

$$S_{H} = \frac{1}{2G} \int dt d^{2}x \left\{ \frac{1}{4} \left( \dot{H}_{ij} \dot{H}^{ij} - \lambda \dot{H} \dot{H} \right) - \mu \partial_{b} \partial_{a} H^{ab} (2\Delta H - \partial_{j} \partial_{i} H^{ij}) + \mu \Delta H \Delta H + O(H^{3}) \right\}$$



**Propagators** 
$$\propto \mathcal{P}_{S}(\omega, p) = \frac{1}{\omega^2 + 4\mu \frac{1-\lambda}{1-2\lambda} p^4}$$

$$\sigma = \frac{1 - 2\lambda}{8\mu(1 - \lambda)}$$
$$\xi = -\frac{1 - 2\lambda}{2(1 - \lambda)}$$

particular gauge parameters

#### Prototypical loop ntegrals

$$\int \frac{d\omega d^2q}{(2\pi)^3} \,\omega^{2a} q^{2b} \prod_I \mathcal{P}_s(\omega + \Omega_I, \, q + P_I)$$

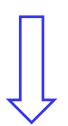
Expansion in external momenta P and fequencies  $\Omega$ 

$$\rightarrow \sum_{a,b,A} \int \frac{d\omega d^2 q}{(2\pi)^3} \,\omega^{2a} q^{2b} \,(\mathcal{P}_s(\omega,q))^A$$

$$= \sum_{a,b,A} \int_{0}^{\infty} \frac{ds \ s^{A-1}}{\Gamma(A)} \int \frac{d\omega d^{2}q}{(2\pi)^{3}} \ \omega^{2a} q^{2b} \ e^{-s(\mathcal{P}_{s}(\omega,q))^{-1}}$$

and  $\beta$ -functions

$$\begin{array}{ll} \textit{Logarithmic divergences} & \int d(\log s) \mapsto \log \left( \frac{\Lambda_{\text{UV}}^4}{k_*^4} \right) & \Rightarrow & \beta_g \equiv k_* \frac{dg(k_*)}{dk_*} \\ & & g = G, \lambda, \mu \end{array}$$



#### Mathematica package xAct

D. Brizuela, J. M. Martin-Garcia, and G. A. Mena Marugan, Gen. Rel. Grav. 41, 2415 (2009), arXiv:0807.0824

$$\beta_{\lambda} = \frac{15 - 14\lambda}{64\pi} \sqrt{\frac{1 - 2\lambda}{1 - \lambda}} \, \mathcal{G}$$

$$\beta_{\mathcal{G}} = -\frac{(16 - 33\lambda + 18\lambda^2)}{64\pi(1 - \lambda)^2} \sqrt{\frac{1 - \lambda}{1 - 2\lambda}} \,\mathcal{G}^2$$

Check in conformal gauge 
$$h_{ij}=e^{2\phi}\gamma_{ij}, \quad \gamma_{ij}=\delta_{ij}+H_{ij}$$

$$\beta_{\mu} = \frac{2 - 7\lambda + 6\lambda^2}{32\pi (1 - \lambda)^{3/2} \sqrt{1 - 2\lambda}} G\sqrt{\mu}$$

$$\beta_{G} = -\frac{6\lambda - 7}{32\pi \sqrt{(1 - 2\lambda)(1 - \lambda)}} \frac{G^2}{\mu}$$

$$\beta_{G} = \frac{6\lambda - 7}{32\pi \sqrt{(1 - 2\lambda)(1 - \lambda)}} \frac{G^2}{\mu}$$

#### Compare to regular ``relativistic" gauge

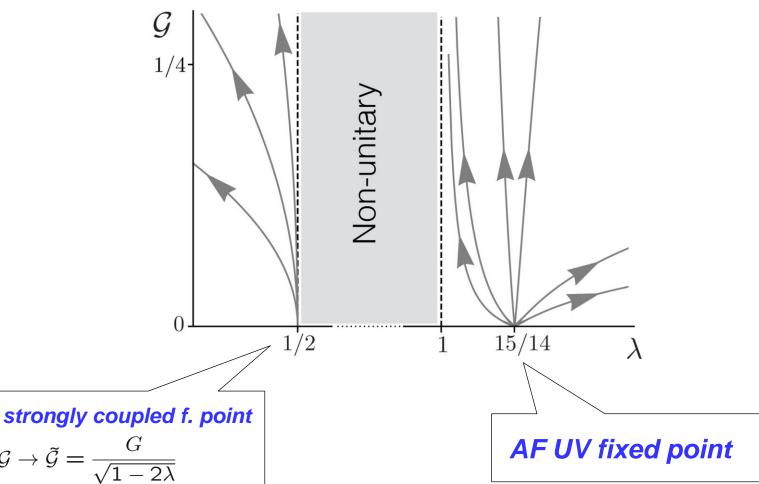
$$\beta_{\mu} = \frac{30 - 73\lambda + 42\lambda^{2}}{32\pi(1 - \lambda)^{3/2}\sqrt{1 - 2\lambda}}G\sqrt{\mu}$$

$$\beta_{G} = -\frac{30\lambda - 23}{32\pi\sqrt{(1 - 2\lambda)(1 - \lambda)}}\frac{G^{2}}{\mu}$$

$$\beta_{G} = \frac{30 - 73\lambda + 42\lambda^{2}}{32\pi\sqrt{(1 - 2\lambda)(1 - \lambda)}}\frac{G\sqrt{\mu}}{\mu}$$

same

#### Renormalization flows:



 $\mathcal{G} \to \tilde{\mathcal{G}} = \frac{G}{\sqrt{1 - 2\lambda}}$  $\beta_{\tilde{\mathcal{G}}} = -\frac{(1 - 2\lambda)^2}{64\pi (1 - \lambda)^{3/2}} \, \tilde{\mathcal{G}}^2$ 

Cf. conformal truncation of (2+1)D HG, Benedetti and Guarneri, JHEP 03(2014)078: f. point  $\lambda=1/2$ , unitarity at G<0,  $\lambda>1$ 

## **Conclusions**

Projectable versions of HG are renormalizable -- "regular" propagators and gauge fixing conditions

(2+1)-dimensional Horava-Lifshitz gravity is unitary and asymptotically free – perturbatively UV-complete theory

In IR one can have  $\lambda \to 1$  – GR limit? Need for nonperturbative analysis as  $G \to \infty$ 

Towards consistent (3+1)-dimensional QG – other calculational methods (heat kernel technique)