

New large N solutions in the Sachdev-Ye-Kitaev model

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based on work in progress with I. Ya. Aref'eva, M. A. Khramtsov
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The Sachdev-Ye-Kitaev (SYK) model

The SYK Hamiltonian

$$H_{SYK} = j_{abcd} \psi_a \psi_b \psi_c \psi_d$$

where j_{abcd} are constants taken from the Gaussian distribution

$$\langle j_{abcd}^2 \rangle = \frac{J^2}{N^3}$$

and ψ are the Majorana fermions satisfying

$$\{\psi_a, \psi_b\} = \delta_{ab}, \quad a, b = 1, \dots, N$$

Free energy and replica trick

In order to evaluate the free energy in a quenched disorder system, one needs to use **the replica trick**

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$$Z(\beta)^M = \int D\psi e^{-\sum_{\alpha=1}^M \int_0^\beta i\dot{\psi}^\alpha(\tau)\psi^\alpha(\tau) + J_{abcd}\psi_a^\alpha(\tau)\psi_b^\alpha(\tau)\psi_c^\alpha(\tau)\psi_d^\alpha(\tau)}$$

To average over disorder, we introduce bilocal fields G and Σ in order to simplify the calculations. Σ is a Lagrange multiplier that sets $G_{\alpha\beta}(\tau, \tau') = \frac{1}{N} \sum_a \psi_a^\alpha(\tau)\psi_a^\beta(\tau')$.

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$$\overline{Z(\beta)^M} = \int DG D\Sigma \text{Det}[\delta_{\alpha\beta}\partial_\tau - \Sigma_{\alpha\beta}]^{\frac{N}{2}} \times$$

$$\exp\left[-\frac{N}{2} \sum_{\alpha\beta} \int_0^\beta \int_0^\beta d\tau_1 d\tau_2 \left(\Sigma_{\alpha\beta}(\tau_1, \tau_2) G_{\alpha\beta}(\tau_1, \tau_2) - \frac{J^2}{4} G_{\alpha\beta}(\tau_1, \tau_2)^4\right)\right]$$

saddle point eqs: $G(\omega) = \frac{1}{-i\omega - \Sigma(\omega)}$, $\Sigma_{\alpha\beta}(\tau, \tau') = J^2 G_{\alpha\beta}(\tau, \tau')^3$

IR limit

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and the saddle point equations:

$$G(\omega) = -\frac{1}{\Sigma(\omega)}, \quad \Sigma_{\alpha\beta}(\tau, \tau') = J^2 G_{\alpha\beta}(\tau, \tau')^3$$

Replica Diagonal (RD) solution and Replica Symmetry Breaking (RSB)

In the IR limit the saddle point equations are **exactly solvable**

$$G_{\alpha\beta}(\tau) = P_{\alpha\beta} b \frac{\text{sgn}(\tau)}{|J\tau|^{2\Delta}}, \quad \Delta = \frac{1}{4}$$

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 - $P_{\alpha\beta} =$ any skew-symmetric matrix [0-dim model: Aref'eva, Volovich'18]

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- ▶ **RSB ansatz:**
 - $P_{\alpha\beta}$ = any skew-symmetric matrix [0-dim model: Aref'eva, Volovich'18]
 - $P_{\alpha\beta}$ = Parisi matrix [present work]

Parisi matrices

Parisi matrices are constructed of m_i blocks, where $i = 1, \dots, M$ and l parameters under the following conditions [Parisi'72]:

$$P_{l+1} = \begin{pmatrix} P_l & a_l \mathcal{J}_l & \dots & a_l \mathcal{J}_l \\ a_l \mathcal{J}_l & P_l & \dots & a_l \mathcal{J}_l \\ & \dots & \dots & \\ a_l \mathcal{J}_l & a_l \mathcal{J}_l & \dots & P_l \end{pmatrix}, \quad \mathcal{J}_l = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & 1 & \dots & 1 \\ & \dots & \dots & \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

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In the case of $M = 4$ it looks like

$$P_4 = \begin{pmatrix} a_0 & a_1 & a_2 & a_2 \\ a_1 & a_0 & a_2 & a_2 \\ a_2 & a_2 & a_0 & a_1 \\ a_2 & a_2 & a_1 & a_0 \end{pmatrix}$$

Parisi martices

The saddle point equations for the parameters of the matrix

$$\mathcal{C} = a_0^4 + \sum a_j^4 (m_{j+1} - m_j)$$

$$a_j a_0^3 + a_0 a_j^3 + \sum (a_i a_j^3 + a_j a_i^3) (m_{i+1} - m_i) = m_j a_j^4 - \sum a_i^4 (m_{i+1} - m_i)$$

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In the limit $M \rightarrow 0$ the saddle point eqs become

$$\mathcal{C} = a_0^4 - \langle a^4 \rangle$$

$$a(u)[a_0^3 - \langle a^3 \rangle] + a^3(u)[a_0 - \langle a \rangle] = \int_0^u [a(v) - a(u)][a^3(v) - a^3(u)] dv$$

where the angular brackets denote the average over v

$$\int_0^1 a^p(v) dv \equiv \langle a^p \rangle$$

One-step ansatz

To solve the equations, we need to make some assumption. Let the function $a(u)$ be:

$$a(u) = A_0 + A_1\theta(u - \mu)$$

where μ is the **breakpoint** and which is considered in [Georges,Parcollet,Sachdev'99] in the context of the original SY-model

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The saddle point equations

$$a_0^4 - (A_0 + A_1)^4 + A_1 (2A_0 + A_1) (2A_0^2 + 2A_1A_0 + A_1^2) \mu = \mathcal{C}$$

$$A_0(a_0A_0^2 + a_0^3 + A_1^3(\mu - 1) + 3A_0A_1^2(\mu - 1) + 4A_0^2A_1(\mu - 1) - 2A_0^3) = 0;$$

$$A_1(A_1^2(a_0 + A_0(3\mu - 7)) + 3A_0A_1(a_0 + A_0(\mu - 3)) + 3a_0A_0^2$$

$$+ a_0^3 + A_1^3(\mu - 2) - 4A_0^3) = 0$$

Classes of solutions for $a(u)$

The saddle point equations admit solutions

1. Replica-diagonal solution: $A_0 = 0, A_1 = 0$.

2. Replica-symmetric solution: $A_0 = a_0, A_1 = 0$.

It cannot be a solution of the full SYK model, since it requires $\mathcal{C} = 0$.

3. Replica-symmetric complex-valued solutions:

$$A_0 = \frac{1}{4} \left(-1 \pm i\sqrt{7} \right)$$
$$A_1 = 0$$

4. RSB simple solution: $A_0 = 0$ which provides

$$A_1^2 a_0 + a_0^3 + A_1^3 (\mu - 2) = 0$$

$$a_0^3 + a_0 A_1^2 + A_1^3 (\mu - 2) = 0$$

5. More complex solutions: $A_0 \neq 0$ and $A_1 \neq 0 \Rightarrow$ [numeric calculations](#)

Free energy on the RSB solution

The free energy is:

$$-\beta F = \lim_{M \rightarrow 0} \frac{1}{M} \log \overline{Z^M}$$

The replica and time dependencies **separate**

$$\frac{2}{N} S_M = -\text{Tr} \log[-(\Sigma_{\alpha\beta})]$$

$$+ \int_0^\beta \int_0^\beta d\tau_1 d\tau_2 \sum_{\alpha\beta} \left(\Sigma_{\alpha\beta}(\tau_1, \tau_2) G_{\alpha\beta}(\tau_1, \tau_2) - \frac{J^2}{q} (G_{\alpha\beta}(\tau_1, \tau_2))^q \right) =$$
$$-M \log \det[-J^2 g_c(\tau, \tau')^{q-1}] - \Lambda \left(\log \det[\mathcal{C}^{1/q-1} Q^{o(q-1)}] - \frac{1-q}{q} M \right)$$

where $\Lambda \sim \beta J / \pi$. The only **one term** we have to think about in the $M \rightarrow 0$ limit gives:

$$\log \det[\mathcal{C}^{1/q-1} Q^{o(q-1)}] = \frac{1-q}{q} \log \mathcal{C} + \log(a_0^{q-1} - \langle a^{q-1} \rangle)$$

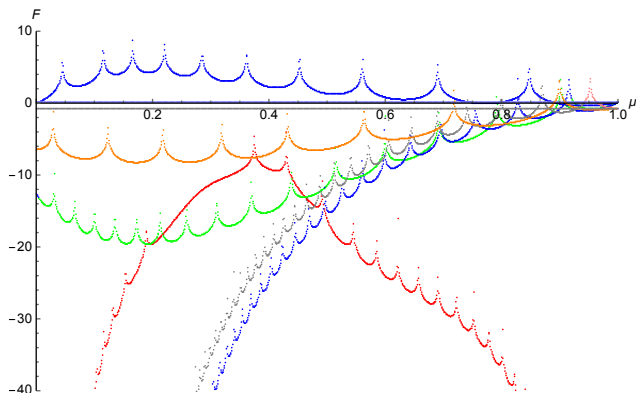
$$- \int_0^1 \frac{dv}{v^2} \log \frac{a_0^{q-1} - \langle a^{q-1} \rangle - [a^{q-1}](v)}{a_0^{q-1} - \langle a^{q-1} \rangle} + \frac{a^{q-1}(0)}{a_0^{q-1} - \langle a^{q-1} \rangle}$$

other terms are the same as in the RD case



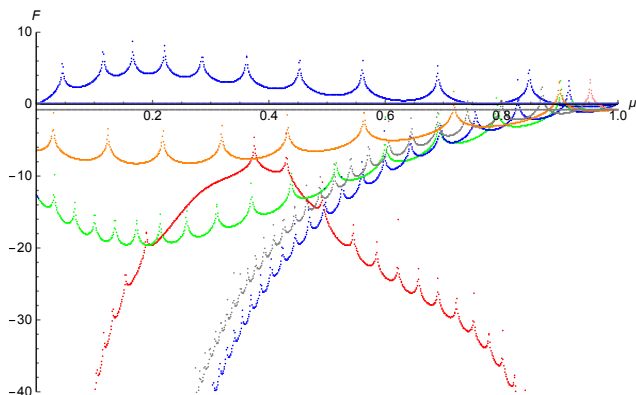
Free energy on the RSB solution

The plot of the replica dependent term of the free energy as a function of breakpoint at $a_0 = 0.7$ and $\beta J \sim 10$



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1. saddle points are complex valued
2. a landscape of metastable states

Comparison of RSB solution with replica-diagonal solution

The expression for the free energy:

- ▶ **RD case** low temperature expansion
[Maldacena,Stanford'16;Kitaev'17]:

$$\beta F = \beta E_0 - s_0 - \frac{c}{2\beta}$$

E_0 is the vacuum energy, s_0 - zero temperature entropy,
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- ▶ **RSB case**

$$\beta F = \beta E_0 + \beta E_0^{RSB} - s_0 - \frac{c}{2\beta}$$

where E_0^{RSB} arises from replicas.

Reparametrization invariance

Reparametrization invariance of the system changes from the replica-diagonal case

$$G_{\alpha\beta}(\tau, \tau') = f'_\alpha(\tau)^\Delta f'_\beta(\tau')^\Delta G_{\alpha\beta}(f_\alpha(\tau), f_\beta(\tau'))$$
$$\Sigma_{\alpha\beta}(\tau, \tau') = f'_\alpha(\tau)^{1-\Delta} f'_\beta(\tau')^{1-\Delta} \Sigma_{\alpha\beta}(f_\alpha(\tau), f_\beta(\tau'))$$

where $f_\alpha(\tau) \in \frac{\text{diff}(S^1)^{\times M}}{SL(2, \mathbb{R})}$ – expanded reparametrization symmetry.
Each replica produces a soft mode with the total action

$$S_{local} \sim \beta J \int_0^{2\pi} \sum_\alpha \text{Sch}(\tan(f_\alpha(\theta)), \theta) d\theta$$

Results

- ▶ We constructed the new large N solutions of the SYK model
- ▶ The part of the free energy which is depend on replicas, has several metastable phases
- ▶ The factorized ansatz $G_{\alpha\beta}(\tau) = P_{\alpha\beta}g(\tau)$ gives a correction to the ground state energy $\beta F = \beta E_0 + \beta E_0^{RSB} - s_0 - \frac{c}{2\beta}$
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Thank you for your attention